

## **EXHIBIT B**

~~AMENDED~~ CUSTOMER CLAIM

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

(Please print or type)

Name of Customer: For Account of Deborah G. Katz c/o Deborah Katz

Mailing Address: 1 Springwood Place

City: Cobbett's Hill Weybridge State: Surrey Zip: KT13 0JA UK United Kingdom

Account No.: KW202

Taxpayer I.D. Number (Social Security No.): \_\_\_\_\_ 0202

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

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1. Claim for money balances as of **December 11, 2008**:

- a. The Broker owes me a Credit (Cr.) Balance of \$ 0
- b. I owe the Broker a Debit (Dr.) Balance of \$ 0
- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, it must be enclosed  
with this claim form. \$ \_\_\_\_\_
- d. If balance is zero, insert "None." None

2. Claim for securities as of December 11, 2008:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

	<u>YES</u>	<u>NO</u>
a. The Broker owes me securities	<u>X</u>	
b. I owe the Broker securities		<u>X</u>
c. If yes to either, please list below:		

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	The Broker Owes Me (Long)	I Owe the Broker (Short)
See Nov. 30, 2008 KW202 statements, attached hereto as Exhibit A.				
	\$802,852.43 (market value of securities long, per KW202-3 statement)			
	28,050.00 (market value of securities long, per KW202-3 statement)			
	(39,610.00) (market value of securities short, per KW202-4 statement)			
TOTAL:	\$791,292.43			

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor. See Exhibits A and B, and documents submitted herewith.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

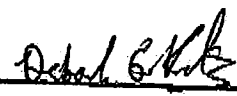
- |   | <u>YES</u> | <u>NO</u>   |
|---|------------|-------------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain.  | _____      | _____X_____ |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?   | _____      | _____X_____ |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____      | _____X_____ |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)    | _____      | _____X_____ |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.                         | _____      | _____X_____ |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.                   | _____      | _____X_____ |
| 9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.  | _____      | _____X_____ |

Please list the full name and address of anyone assisting you in the preparation of this claim form: Christopher Van De Kieft, Esq., Seeger Weiss LLP,  
One William Street, New York, NY 10004

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 6/30/09 Signature   
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

# ***EXHIBIT A***

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

**DEBORAH G KATZ**  
AND **DEBORAH KATZ AS CUST FOR**  
**ALEXANDER & JASON KATZ T/I/C**

8-01789-cgm  
D 2013-2  
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AMOUNT DEBITED TO YOUR ACCOUNT  
46,781.37

AMOUNT CREDITED TO YOUR ACCOUNT  
17,819.00  
24,693.86  
25,232.03  
78,096.48  
17,066.23  
33,446.38  
29,505.45  
18,237.28  
13,187.06  
12,636.10  
35,287.15  
14,148.80  
18,232.43  
18,852.86  
23,381.38  
17,651.03  
39,240.96  
13,082.36  
18,547.00  
22,061.80  
11,494.80  
13,867.55  
12,627.40

PERIOD ENDING  
11/30/08

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YOUR TAXPAYER IDENTIFICATION NUMBER  
\*\*\*\*\*0202

YOUR ACCOUNT NUMBER  
1-KW202-3-0

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	510		42	BALANCE FORWARD	34.900	46,781.37	
11/12	442		3866	HEWLETT PACKARD CO	55.830		17,819.00
11/12	289		4368	WAL-MART STORES INC	87.270		24,693.86
11/12	1,071		8192	INTERNATIONAL BUSINESS MACHS	72.880		25,232.03
11/12	1,173		8694	EXXON MOBIL CORP	14.510		78,096.48
11/12	561		13020	INTEL CORP	59.580		17,066.23
11/12	765		17345	JOHNSON & JOHNSON	38.530		33,446.38
11/12	408		21671	J-P. MORGAN CHASE & CO	44.660		29,505.45
11/12	238		25997	COCA COLA CO	55.370		18,237.28
11/12	442		30323	MCDONALDS CORP	28.550		13,187.06
11/12	1,615		34649	MERCK & CO	21.810		12,636.10
11/12	816		38975	MICROSOFT CORP	17.300		35,287.15
11/12	323		61953	ORACLE CORPORATION	56.410		14,148.80
11/12	187		52455	PEPSICO INC	100.780		18,232.43
11/12	1,377		56279	APPLE INC	16.940		18,852.86
11/12	323		56781	PFIZER INC	54.610		23,381.38
11/12	612		60605	ABBOTT LABORATORIES	64.080		17,651.03
11/12	221		61107	PROCTER & GAMBLE CO	59.160		39,240.96
11/12	425		64931	AMGEN INC	43.600		13,082.36
11/12	1,020		65433	PHILLIP MORRIS INTERNATIONAL	21.590		18,547.00
11/12	340		69257	BANK OF AMERICA	33.770		22,061.80
11/12	1,105		69759	QUALCOMM INC	12.510		11,494.80
11/12	255		73583	CITI GROUP INC	49.480		13,867.55
11/12				SCHLUMBERGER LTD			12,627.40
				CONTINUED ON PAGE 2			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

DEBORAH G KATZ  
AND DEBORAH KATZ AS CUST FOR  
ALEXANDER & JASON KATZ T/I/C

AMMATED W  
Madoff Securities International Limi  
12 Berkeley Str  
Mayfair, London W1J 8J  
Tel 020 7493 0000

PERIOD ENDING  
**11/30/08**

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ACCOUNT IDENTIFICATION NUMBER  
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YOUR ACCOUNT NUMBER  
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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	612		74085	COMCAST CORP CL A	16.510	10,128.12	
11/12	1,207		77909	AT&T INC	27	32,637.00	
11/12	306		78411	CONOCOPHILIPS	52.510	16,080.06	
11/12	204		82235	UNITED PARCEL SVC INC CLASS B	52.040	10,624.16	
11/12	1,241		82737	CISCO SYSTEMS INC	16.730	20,810.93	
11/12	357		86561	U S BANCORP	29.530	10,556.21	
11/12	425		87063	CHEVRON CORP	73.430	31,224.75	
11/12	204		90887	UNITED TECHNOLOGIES CORP	53.160	10,852.64	
11/12	2,159		91389	GENERAL ELECTRIC CO	19.630	42,467.17	
11/12	578		95213	VERIZON COMMUNICATIONS	30.410	17,599.98	
11/12	51		95715	GOOGLE	337.400	17,209.40	
11/12	714		99539	NELLS FARGO & CO NEW	29.800	21,305.20	
11/12		750,000	22151	U S TREASURY BILL DUE 2/12/2009	99.936		
11/12				FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/12/08	DIV		
11/12		26,683	17250	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		
11/12	2,906		26619	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	2,906.00	
11/19				FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/19/08	DIV		
				CONTINUED ON PAGE 3			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



Attuated wit  
Madoff Securities International Limite  
12 Berkeley Stre  
Mayfair, London W1J 8DY  
Tel 020 7493 6000

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

**DEBORAH G KATZ**  
AND DEBORAH KATZ AS CUST FOR  
ALEXANDER & JASON KATZ I/I/C

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DERIVED TO YOUR ACCOUNT	AMOUNT CRED TO YOUR ACC
11/19		2,906	52019	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		2
11/19	50,000		56605	U S TREASURY BILL DUE 03/26/2009	99.926	49,963.00	
11/19	3,876		61058	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	3,876.00	
				NEW BALANCE		94,279.86	
	1,207			SECURITY POSITIONS	MKT PRICE		
	323			AT&T INC	28.560		
	221			ABBOTT LABORATORIES	52.390		
	187			AMGEN INC	55.540		
	1,020			APPLE INC	92.670		
	425			BANK OF AMERICA	16.250		
	1,241			CHEVRON CORP	79.010		
	1,105			CISCO SYSTEMS INC	16.540		
	408			CITY GROUP INC	8.290		
	612			COCA COLA CO	46.870		
				COMCAST CORP	17.340		
	306			CL A			
	1,071			CONOCOPHILIPS	52.520		
	2,159			EXXON MOBIL CORP	80.150		
				GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 4			



**Attilated wit**  
**Madoff Securities International Limite**  
**12 Berkeley Stre**  
**Mayfair, London W1J 8D**  
**Tel 020 7493 6290**

**885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061**

**BERNARD L. MADOFF**  
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New York □ London

DEBORAH G KATZ  
AND DEBORAH KATZ AS CUST FOR  
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PERIOD ENDING  
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YOUR ACCOUNT NUMBER  
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YOUR TAXPAYER IDENTIFICATION NUMBER  
\*\*\*\*\*0202

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Customer Claim Pg 11 of 16

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	578 442 714			VERIZON COMMUNICATIONS WAL-MART STORES INC WELLS FARGO & CO NEW  MARKET VALUE OF SECURITIES LONG 802,852.43  SHORT	32.650 55.880 26.890		

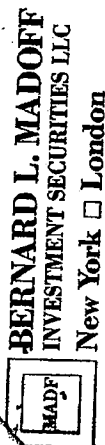
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DEBORAH G KATZ  
AND DEBORAH KATZ AS CUST FOR  
ALEXANDER & JASON KATZ T/I/C

YOUR TAX PAYER IDENTIFICATION NUMBER  
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DESCRIPTION	YEAR-TO-DATE SUMMARY
DIVIDENDS	
GROSS PROCEEDS FROM SALES	

**PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES**



**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**DEBORAH G KATZ**  
AND **DEBORAH KATZ AS CUST FOR**  
**ALEXANDER & JASON KATZ T/I/C**

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

Affiliated with  
**Madoff Securities International Limited**  
12 Berkeley Street  
Mayfair, London W1J 8D  
Tel 020 7493 6800

11/30/08

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8-01789-cgm

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Exhibit B.

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD			46,100
11/12	17		43301	S & P 100 INDEX NOVEMBER 460 CALL	15.800		26,900
11/19		17	47627	S & P 100 INDEX NOVEMBER 450 PUT	17.800	30,277.00	
11/19	17		33731	S & P 100 INDEX DECEMBER 430 CALL	26		44,100
11/19	17		38056	S & P 100 INDEX DECEMBER 420 PUT	30	51,017.00	
11/19			42381	S & P 100 INDEX NOVEMBER 460 CALL	3	5,117.00	
11/19		17	46706	S & P 100 INDEX NOVEMBER 450 PUT	37		629,800
				NEW BALANCE			94,423
				SECURITY POSITIONS			
	17			S & P 100 INDEX DECEMBER 430 CALL	MKT PRICE 23.300		
				S & P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG			28,050.00
				SHORT			39,610.00

PLEASE RETURN THIS STATEMENT FOR INCOME TAX PURPOSES

# ***EXHIBIT B***

Deborah Katz  
Account No. KW202

**EXHIBIT B**

1. This Claim Form, exhibits, and supporting documentation (collectively "Claim Form") is submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
2. The information provided in the Claim Form is based on information provided in the Claimant's latest Madoff account statement and any additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law or equity.
4. The Claimant hereby requests that the Claim Form additionally be considered as a proof of claim, as a general creditor or otherwise, in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
5. This Claim Form is required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by applicable law, the Claimant does not, by submitting the Claim Form, consent to the jurisdiction of the Bankruptcy Court nor does Claimant waive any right to trial by jury.
6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.

Deborah Katz  
Account No. KW202

8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.
10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
11. The Claim Form and supporting documents contain confidential information. The Claimant submits this information to the Trustee subject to the condition that this information will not to be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
12. To the extent the BMIS account for which this Claim Form is submitted contains or purports to contain funds from a "predecessor" or other BMIS account, the Claimant reserves all rights, claims, and defenses as to any such predecessor or other BMIS account. To the extent necessary or appropriate, this Claim Form is likewise submitted as a claim for and on behalf of any such predecessor or other BMIS account.
13. The Claimant submits herewith documents in support of the Claimant's claim, including documents containing information regarding account transactions, such as contributions and/or withdrawals. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement this submission, including the submission of additional documents, if deemed necessary.